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In re the Application of: Jiro KUMAKURA Group Art Unit: 2153

Serial No.: 09/889,559 Examiner: Scott M. Klinger

Filed: **July 27, 2001** Confirmation No.: **7491** 

For: DATA TRANSMISSION METHOD, COMPUTER-READABLE

RECORDING MEDIUM AND DATA TRANSMISSION APPARATUS

Attorney Docket No.:

010885

Customer Number:

38834

## INFORMATION DISCLOSURE STATEMENT UNDER C.F.R. §1.97(c)

Mail Stop Amendment Commissioner for Patents Washington, D.C. 20231

November 12, 2004

Dear Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after the issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to the issuance of either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application. A check is attached hereto which covers the \$ 180.00 fee set forth in 37 CFR \$ 1.17(p).

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application,

Application No.: 09/889,559

Information Disclosure Statement Under 37 C.F.R. §1.97(c) dated November 12, 2004

and that the references cited in the attached Form PTO/SB/08 is made of record therein and

appear on the first page of any patent to issue therefrom.

A communication from the Japanese Patent Office, along with English language

translation of the complete communication and Abstracts of the references cited therein are

enclosed to provide the requisite statement of relevance for the attached foreign references.

The Commission is authorized to charge Deposit Account No. 50-2866 for any fee which

is deemed by the Patent and Trademark Office to be required to effect consideration of this

statement.

Respectfully submitted,

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Complete if Known **Application Number** 09/889,559 Filing Date July 27, 2001 First Named Inventor Jiro Kumakura Art Unit 2153 **Examiner Name** Scott M. Klinger

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Attorney Docket Number 016883 Sheet **Ü. S. PATENT DOCUMENTS** Name of Patentee or Pages, Columns, Lines, Where Publication Date Examiner Cite **Document Number** MM-DD-YYYY Relevant Passages or Relevant Applicant of Cited Document Initials\* Figures Appear Number-Kind Code<sup>2 (ff known)</sup> US-US-ÜS-US-ÜS-US-US-ÜS-US-ÜS-US-US-US-US-

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T⁵	
			MM-DD-YYYY				
	1	JP 05-073449	03-26-1993	Kobe Nippon			
	2	JP 07-230404	08-29-1995	Chugoku			
	3	JP 05-225015	09-03-1993	Nippon		<u></u>	
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Signature		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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PTO/SB/08B (08-03)

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Under the Paperwork Re are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 09/889,559 INFORMATION DISCLOSURE **Filing Date** July 27, 2001 STATEMENT BY APPLICANT **First Named Inventor** Jiro Kumakura Art Unit 2153 (Use as many sheets as necessary) Examiner Name Scott M. Klinger Attorney Docket Number Sheet 2 2 010885 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	4	ODA, Keizo, "Business Pasocon Unser no tameno Intranet/LAN taio Database Kochiku Guide," Kabushiki Kaisha Denpa Shinbunsha, 20 May, 1996 (20.5.1996)	i i
	5	"Mobile System Kochiku-ho (Part 2:6 tsuno Kochiku Pattern)," Nikkei Open System, No. 69, Nikkei BP Aha, 15 December, 1998 (15.12.1998), pages 164 -	
	6	"Setsuzoku ga kiretemo kakuzitsuni Data wo okuru Mobile Agent Soft," Nikkei Computer No. 416, Nikkei BP Sha, 28 April, 1997 (28.4.1997) pages 110 - 113	
	7	Office Communication from the Japanese Patent Office for Application No. 2000-019919 dated December 25, 2003 and English language translation.	

Examiner	 Date	
Signature	Considered	

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